

# United States Patent and Trademark Office/

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UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 2023

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APPLICATION NUMBER

LNG/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/960,248

20/20/2001

Dominic W. Massaro

UC00-275-2

CONFIRMATION NO. 4329

FORMALITIES LETTER

\*OC000000006954884\*

John P. O'banion
O'BANION & RITCHEY LLP
400 Capitol Mall, Suite 1550
Sacramento, CA 95814

Date Mailed: 10/23/2001

# NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

### FILED UNDER 37 CFR 1.53(b)

#### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
   Applicant must submit \$ 355 to complete the basic filing fee for a small entity.
- Total additional claim fee(s) for this application is \$570.
  - \$450 for 50 total claims over 20.
  - \$120 for 3 independent claims over 3.
- The oath or declaration is missing.
   A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 990.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/07/2002 VTRUDNG1 00000024 09960248

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**PATENT** 

Docket No.: <u>UC00-275-2</u>

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

DOMINIC W. MASSARO; MICHAEL M. COHEN; JONAS BESKOW

Serial No.:

09/960,248

Filed: For:

**SEPTEMBER 20, 2001** 

VISUAL DISPLAY METHODS FOR USE IN COMPUTER-ANIMATED SPEECH

PRODUCTION MODELS

Group: Examiner:

**BOX MISSING PARTS Assistant Commissioner for Patents** Washington, D.C. 20231

## SUBMISSION OF MISSING PARTS OF APPLICATION UNDER 37 C.F.R. § 1.53

Dear Sir:

In response to the Notice to File Missing Parts of Application (PTO-1533) mailed October 23, 2001, a copy of which is enclosed, please find the following documents which are incorporated by reference herein:

- Combined Declaration and Power of Attorney; 1.
- Check in the amount of \$939 (\$874 filing fees and \$65 surcharge for the fees due as a 2. small entity).
- 3. First Preliminary Amendment;
- Petition for Extension of Time under 37 CFR 1.136(a) (Small Entity); 4.
- Check in the amount of \$195.00 (Extension of Time under 37 CFR 1.136(a); 5.
- 6. Power of Attorney by Assignee and Exclusion of Inventors Under 37 C.F.R. 3.71;
- Assignee Certification Under 37 C.F.R. 3.73(b); 7.
- 8. Information Disclosure Statement;
- 9. PTO Form 1449;

- 10. Copies of Citations Listed on PTO Form 1449 and;
- 11. Assignment Cover Letter;
- 12. Assignment and;

**TOTAL FEES DUE** 

13. Check in the amount of \$40 (assignment recording fee).

The amount due for the filing fees and surcharge has been computed as follows:

			CLAIMS A	AS FILED	)				
	Num	ber filed	Num	nber Extra	a 	Rate			Basic Fee \$ 740.00
Total Claims 37 CFR	1.16(c)	62 - 20	=	42	X	\$18.00	=		756.00
Independent Claims (37 CFR	1. <b>1</b> 6(b))	6 - 3	=	3	×	\$84.00	=		252.00
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Please charge any additional fees due to deposit account no. 07-1137.

Having now complied with all of the requirements of 37 C.F.R. 1.53, the Applicants respectfully request that this application be placed upon the files for examination.

\$ \_\_1,174.00

# PLEASE CORRECT THE NAMES OF APPLICANTS TO MATCH THE NAMES ON THE **ENCLOSED DECLARATION.**

Dated: February 25, 2002.

Respectfully submitted,

John P. O'Banion, Reg. No. 33,201 O'BANION & RITCHEY LLP 400 Capitol Mall, Suite 1550 Sacramento, CA 95814 (916) 498-1010

CERTIFICATE OF	MAILING BY "EXPRESS N	MAIL" (37 CFR 1.10)	Docket No.
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Serial No.	Filing Date SEPTEMBER 20, 2001	Examiner	Group Art Unit
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Invention: VISUAL DIS MODELS	FEB 27 ZOTA TRADENTE	COMPUTER-ANIMATED SPEEC	CH PRODUCTION
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